
Scheduled Reports & Alerts

Automation that will make your
job faster, easier and more
efficient

Scheduled Reports and Alerts

Functionality

1. Statistical reports showing customer transaction variances based on customer profiles,
2. Automated reports - Variance reporting and analysis,
3. Runs reports on a scheduled basis no waiting for reports to be generated.

Introduction

Unlike other systems in the market, the BSA Tracker allows users to run virtually unlimited reports based on characteristics of the customer, his accounts and his transactions. There are literally hundreds of combinations of fields or flags to choose from allowing you to completely customize your reports to your specific needs.

In addition, BSA Tracker users can schedule any report to run automatically. You control the schedule and decide if you want to maintain the report in your scheduled report library. The scheduled reports will appear in the Daily Alerts screen within the functional compliance section related to the report. Moreover, the new scheduled report is retained in the system allowing you to refer to the history and frequency of the reports used in your daily work.

The Daily Alert Screen

The daily alert screen is the opening menu of the BSA Tracker. It is automatically updated as various throughout the day based on scheduled event in the software. This means the risk monitor and the schedule reports can run dynamically.

Here is a view of the top half of this screen:

BSA Tracker
 Bouton and Associates
 www.gisbanker.com
 619.579.9099
 Version: 2.11.05.A

Bank# 911/Bouton Bank | LTD: 1/3/2002 | User: administrator

Daily Alerts

Enterprise-Wide Risk

Risk Level	Count
Low Risk	1
Medium Risk	2
Mid-High Risk	3
High Risk Group	4
Very High Risk	5
Danger	6

Customer Risk Ratings

# Risk	Customers
1	163,966
2	2,249
3	1,456
4	1,942
5	669
6	66

SAR/CTR/OFAC Alerts

SAR5

Alert Status	Count	Color
5 NEW	5	A
3 READY	3	B
1 REVIEW	1	C
26 FOLLOWUP	26	D

CTR5

Alert Status	Count	Color
0 NEW CTR5	0	A
0 15-MAX DAYS	0	B
0 <15 DAYS	0	C
0 DUE NOW	0	D
459 OVERDUE	459	E

OFAC

Non-certified: 18 Last SDN Update: 4/28/2011

This is a view of the bottom half of the screen.

The screenshot shows the BSA Tracker application window. On the left is a navigation menu with links such as Daily Alerts, System Overview, Bank Control, Customer Workshop, Branch Workshop, OFAC Workshop, Fraud and Check Kiting Workshop, MSB Workshop, CIP Workshop, KYC/EDD Workshop, Risk Management Workshop, Wire Workshop, Investigator Workshop, SAR Workshop, CTR Workshop, 314A Workshop, 314B Workshop, View Reports, Agency Verifications, Legal Process Workshop, Request Letter Workshop, and Log Out. The main content area displays four sections of alerts, each with a table of report titles, intervals, and last run times, along with a 'View Report' button.

Report Title	Interval	Last Run	Action
Transaction Variance Report - -- Default Profile --	Daily	6/22/2011 4:00:05 AM	View Report
MSB Expirations	Daily	6/22/2011 4:00:04 AM	View Report
Cash Stratification	Weekly	6/19/2011 4:00:04 AM	View Report
Intra-Customer Funds Transfer	Monthly	None available	
DDA Balance Variation	Monthly	5/31/2011 4:00:04 AM	View Report
Layering Teller-Deposit cash and wires	Weekly	6/18/2011 4:01:48 AM	View Report
Layering Deposits - my test	Weekly	6/18/2011 4:01:43 AM	View Report

Within any part of the Tracking System where you have the ability to generate a report, you will see a button, which will allow you the schedule the report based on the various report parameters you define. You would complete the minimum, maximum amounts, the aggregation amounts and any other filters etc. then click on Schedule. The scheduler maintains all of the information to run the report and uses that information in the report header each time it runs. This includes the frequency and time the reports are scheduled to run.

Once scheduled and/or after the reports run the finished report will appear in the Daily Alerts section of the Tracker, as shown below. Every time the report runs it will add an entry into the report drop down box.

If a report is not scheduled a message appears indicating no reports have been scheduled. Similarly if a report has been schedule and it has not run yet a message

appears indicating the schedule and if it is available yet, as shown below.

A report library is maintained in Bank Control where you can manage the scheduled reports.

You can schedule as many report as you need and we will work with you to ensure that you are taking full advantage of your report automation within the BSA Tracking System.

The BSA Tracker improves performance and efficiency by building an integrated compliance process for the bank. It covers all aspects of the BSA/AML compliance.

- Advanced Transaction monitoring and alerts,
- Enhanced customer due diligence,
- Enhanced case management,
- Risk management and more...

... all integrated into one easy to use platform and presents the information automatically for you in the Daily Alerts page.

The BSA Tracker will improve your banks effectiveness, productivity, increase risk intelligence, eliminate paper files and improve profitability. No other system will do more.

Call us today for more information or demo (619) 579-9099.